

UNITED STATES DISTRICT COURT  
DISTRICT OF MASSACHUSETTS

----- x

STEVEN G. WICKS and  
GERALD A. KALBFLEISCH,

Plaintiffs,

Civil Action  
No. 04-10988-GAO

v.

PUTNAM INVESTMENT  
MANAGEMENT, LLC and  
PUTNAM RETAIL MANAGEMENT, LLP,

Defendants.

----- x

**CERTIFICATE OF DEFENDANTS PUTNAM  
INVESTMENT MANAGEMENT, LLC AND PUTNAM  
RETAIL MANAGEMENT, LLP PURSUANT TO LOCAL RULE 16.1(D)(3)**

Pursuant to Local Rule 16.1(D)(3), Defendants Putnam Investment Management, LLC and Putnam Retail Management, LLP and undersigned counsel hereby certify that they have conferred:

- (a) with a view to establishing a budget for the costs of conducting the full course -- and various alternative courses -- of the litigation;
- (b) to consider the resolution of the litigation through the use of alternative dispute resolution such as those outlined in Local Rule 16.4.

Dated: June 8, 2005

Respectfully submitted,

*James R. Carroll* S9.1

James R. Carroll (BBO #554426)  
David S. Clancy (BBO #636031)  
Scott T. Lashway (BBO #655268)  
SKADDEN, ARPS, SLATE,  
MEAGHER & FLOM LLP  
One Beacon Street  
Boston, Massachusetts 02108  
(617) 573-4800

*Jason A. Tucker*  
Jason A. Tucker, Esq.  
Putnam Investments  
One Post Office Square  
16<sup>th</sup> Floor  
Boston, Massachusetts 02109  
(617) 760-1977